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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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EXAMINER

MANNING, JOHN

ART UNIT PAPER NUMBER

2623

DATE MAILED: 06/14/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.		Applicant(s)	
	09/750,105		ROGERS ET AL.	
	Examiner		Art Unit	
	John Manning		2623	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-3,6-11 and 21 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-3,6-11 and 21 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. ____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. ____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date ____ | 6) <input type="checkbox"/> Other: ____ |

DETAILED ACTION

Response to Arguments

1. Applicant's arguments filed 3/28/06 have been fully considered but they are not persuasive.

Applicant argues "...there is no teaching or suggestion in Hite of utilizing a signal to insert a stored advertisement into a media delivery stream during broadcast media programming". The Examiner respectfully disagrees. "Commercial display instructions for a particular display site (consumer) are transmitted to and stored in an in-home storage device at the display site" (Col 5, Lines 7-9). The claimed limitation does not require that the signal received at the media delivery device be sent with the broadcast media programming. The commercial display instructions (received signal) of Hite direct the system to insert a stored advertisement into a broadcasted program.

Applicant argues Esch "...fails to disclose detected audio tones which include substitution signals that indicate authorization for a local television station to insert a locally stored advertisement into a media stream..." The Examiner respectfully disagrees. Esch teaches the remote insertion/substation of video, where the remote site uses a cue-decoding processor. The cue-decoding processor analyzes the received audio signals, which are detected in real-time for schedule insertion activities. It is notes that receiving a signal from the network for insertion is inherently an authorization.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-3, and 6-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hite et al. (of record) in view of Ballard (of record) and further in view of Ficco (US Pat App Pub No 2005/0166224).

In regard to claim 1, the Hite et al. reference discloses a system and method for delivering targeted advertisements to consumers. The method of claim 1 is met by the method carried out by the system depicted in Figure 1. The claimed step of "storing a plurality of advertisements in a media delivery device in a database, wherein the stored advertisements are each of a type that is determined to appeal to one or more users of the media delivery device" is met by the optional video storage device 456 of Figure 5. The claimed step of "receiving a signal in the media delivery device to insert a stored advertisement into the media delivery stream during broadcast media programming" is met by the commercial processor 438 of Figure 5. The claimed step of "inserting an advertisement stored in the database into the media delivery stream" is met by Figure 5. The "Commercial Processor 438 can cause commercial signals to be stored or played back from the Optional Video Storage Device 456 by signals conveyed by electrical and/or optical connection 462 to the Optional Video Storage Device 456" (Col 14, Lines

28-32). Where the advertisements "are matched to the viewer's interests and needs" (Col 3, Lines 21-22). Although Hite discloses an optional upstream transmitter, the reference fails to explicitly disclose "transmitting a request from the media delivery device to an external network through a telecommunications link to receive the plurality of advertisements for storage in the media delivery device". Ballard discloses "transmitting a request from the media delivery device to an external network through a telecommunications link to receive the plurality of advertisements for storage in the media delivery device" so as to allow advertisers to be able to reach target consumers within a system which protects consumer privacy. "To maintain a degree of privacy for the end user specific end user information such as name, address, social security number, and specific financial data is not sent in a message. For advertisement distribution based on affinity ranking, the message content manager selects one or more product categories and corresponding affinity rankings in the affinity ranking data 66. Various methods may be used to select the product categories to include in a message. In one method the message content manager randomly selects a product category. In another method, sequential messages specify the product categories in descending affinity ranked order. Each message is sent to the ASP computer 52. The ASP computer 52 then selects one or more advertisements that conform to the advertising category and affinity ranking and sends the advertisements to the end user via modem, fax and messenger service (e.g., postal service). For advertisement distribution based on demographic data, the message content manager sends a request to the ASP computer to send an advertisement which conforms to a included set of

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demographic parameters. Such information is sent to the ASP computer 52. The ASP computer 52 then selects one or more advertisements that conform to the demographic data and sends the advertisements to the end user via modem, fax and messenger service (e.g., postal service). In another embodiment both affinity data and demographic data are sent" (Col 9, Lines 22-48). Consequently, it would have been obvious to one of ordinary skill in the art to modify Hite with "transmitting a request from the media delivery device to an external network through a telecommunications link to receive the plurality of advertisements for storage in the media delivery device" so as to allow advertisers to be able to reach target consumers within a system which protects consumer privacy. The aforementioned combined teaching fails to explicitly disclose a database that "includes a table for classifying the stored advertisements according to a plurality of categories which includes a classification according to the type of advertisement that is stored and wherein the signal includes at least one classification for one or more of the categories as provided in the table for selecting a commercial stored in the database for insertion into the media delivery stream". Ficco teaches a database that "includes a table for classifying the stored advertisements according to a plurality of categories which includes a classification according to the type of advertisement that is stored and wherein the signal includes at least one classification for one or more of the categories as provided in the table for selecting a commercial stored in the database for insertion into the media delivery stream" so as to facilitate the selection of the most appropriate advertisement. "Before selecting an ad segment, the ad segment may first be loaded into the memory device 20. The broadcast feed 5

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supplies one or more advertising segments to the memory device 20 for storage in respective ad segment memories 22-28. Each of the ad segments includes an associated index value or other selection data which indicates the type, content, category, or other selection criteria that permits the multiplexer 40 to select the ad segment most appropriate to a particular ad selection factor" (Paragraph 0036).

"Furthermore, the ad selection factor may comprise a variety of formats and content. In the simplest example, the ad selection factor could be a simple number designating one of the ad segments stored in the memory device 20. More complex examples of the ad selection factor include multiple components each of which corresponds to a particular category of consumers and has a numerical value rating the recipient within each category. By concatenating the various categorizations each with an index value, an appropriate ad segment stored in the memory device 20 may be appropriately selected. Indeed, the number of ad segments stored in memory device 20 may comprise hundreds, thousands, or more advertisements and be broken down into a variety of categories having a variety of organizational structures that permit selection by the ad selection factor and multiplexer 40" (Paragraph 0036). Consequently, it would have been obvious to one of ordinary skill in the art to implement the combined teaching with a database that "includes a table for classifying the stored advertisements according to a plurality of categories which includes a classification according to the type of advertisement that is stored and wherein the signal includes at least one classification for one or more of the categories as provided in the table for selecting a commercial

stored in the database for insertion into the media delivery stream" so as to facilitate the selection of the most appropriate advertisement for the stated advantage.

In regard to claim 2, the reference discloses that the advertisements are commercials. "The Ad Administration Facility 100 is where customers, commercials, and programs are analyzed and categorized and the results stored in databases" (Col 1, Lines 66-67).

In regard to claim 3, the reference discloses that the "media delivery device" is a set top box for receiving broadcast signals for a cable or satellite television network system. An "individually addressable digital recording device (RD) with a unique address is installed at the display site in the television or radio receiver, VCR, display device or set-top-box or modular decoder associated with the media provider (cable, DBS, telephone, etc.)" (Col 5, Lines 2-7).

In regard to claim 6, the reference is silent with respect to searching the table in the database for at least one advertisement having a classification in at least one category that is provided in the signal. It is submitted that it would have been clearly obvious, if not inherent, to one of ordinary skill in the art to modify Hite et al. with searching the table in the database for at least one advertisement having a classification in at least one category that is provided in the signal so as to provide the appropriate commercial at the appropriate.

In regard to claim 7, the reference discloses the step of selecting an advertisement from the at least one advertisement having a classification provided in the signal by weighting the relative importance of each category in the table. "A

frequency indicator code can be appended to the commercial's CID code. The frequency indicator code would be loaded into a register at the display site. The contents of the frequency indicator code register would be decremented each time the commercial is successfully displayed. A successful display of the commercial requires the display device to be in its "on" condition. When the frequency indicator code register reaches zero, the commercial will no longer be displayed" (Col 4, Lines 25-34).

In regard to claim 8, the reference discloses that the stored advertisements are received by the "media delivery device" as encoded data files through a telecommunications link to an external database of advertisements as shown in Figure 2. The commercials are compressed therefore they are encoded. The databases are items 102 and 116.

In regard to claim 9, the claimed step of "transmitting a request to an external network through a telecommunications link to receive advertisements for storage in the media delivery device" is inherent to the system. The claimed step of "receiving encoded data files of advertisements through the telecommunications link for storage in the media delivery device" is met by Figure 2 and Figure 5. The stored advertisements are received by the "media delivery device" as encoded data files through a telecommunications link to an external database of advertisements as shown in Figure 2. The commercials are compressed therefore they are encoded. The claimed step of "classifying the stored advertisements according to a plurality of categories, which includes a classification according to the type of advertisement that is stored." The reference discloses classifying the commercials. "Attached to each commercial are

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codes indicating the conditions and rules required to display the commercial, e.g., date, day-part, network, program context, etc" (Col 7, Lines 7-9). The reference is silent with respect to the step of "transmitting signals between the media delivery device and the external network indicating the one or more types of advertisements that appeal to users of the media delivery device". Although Hite discloses an optional upstream transmitter, the reference fails to explicitly disclose "transmitting signals between the media delivery device and the external network indicating the one or more types of advertisements that appeal to users of the media delivery device". Ballard discloses "transmitting signals between the media delivery device and the external network indicating the one or more types of advertisements that appeal to users of the media delivery device" so as to allow advertisers to be able to reach target consumers within a system which protects consumer privacy. "To maintain a degree of privacy for the end user specific end user information such as name, address, social security number, and specific financial data is not sent in a message. For advertisement distribution based on affinity ranking, the message content manager selects one or more product categories and corresponding affinity rankings in the affinity ranking data 66. Various methods may be used to select the product categories to include in a message. In one method the message content manager randomly selects a product category. In another method, sequential messages specify the product categories in descending affinity ranked order. Each message is sent to the ASP computer 52. The ASP computer 52 then selects one or more advertisements that conform to the advertising category and affinity ranking and sends the advertisements to the end user via modem, fax and messenger service (e.g.,

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postal service). For advertisement distribution based on demographic data, the message content manager sends a request to the ASP computer to send an advertisement which conforms to a included set of demographic parameters. Such information is sent to the ASP computer 52. The ASP computer 52 then selects one or more advertisements that conform to the demographic data and sends the advertisements to the end user via modem, fax and messenger service (e.g., postal service). In another embodiment both affinity data and demographic data are sent" (Col 9, Lines 22-48). Consequently, it would have been obvious to one of ordinary skill in the art to modify Hite with "transmitting signals between the media delivery device and the external network indicating the one or more types of advertisements that appeal to users of the media delivery device" so as to allow advertisers to be able to reach target consumers within a system which protects consumer privacy.

In regard to claim 10, the claimed step of "receiving download signals from the broadcast media stream in the media delivery device to download advertisements for storage in the media delivery device, wherein, for each advertisement, the signals include a classification for one or more of the categories as provided in the table for selecting a commercial stored in the database for insertion into the media delivery stream" is met by Figure 2 and Figure 5. The stored advertisements are received by the "media delivery device" as encoded data files through a telecommunications link to an external database of advertisements as shown in Figure 2. The reference discloses classifying the commercials. "Attached to each commercial are codes indicating the conditions and rules required to display the commercial, e.g., date, day-part, network,

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program context, etc” (Col 7, Lines 7-9). The claimed step of “downloading the advertisements having a classification for one or more of the categories as provided in the table that matches a pre-stored classification in a list of classifications indicating the one or more types of advertisements that appeal to users of the media delivery device” is met by Figure 2 and Figure 5. “A suitable process is used to target prospective viewers of a set of advertisements using database search and list selection procedures. The result of this process is a set of appropriate CID codes for the prospective viewers. These CID codes are transmitted to the viewing device and stored” (Col 3, Lines 65-67; Col 4, Lines 1-2).

In regard to claim 11, the reference discloses that the commercials are classified by codes that are used to determine whether or not to display the commercial.

“Attached to each commercial are codes indicating the conditions and rules required to display the commercial, e.g., date, day-part, network, program context, etc” (Col 7, Lines 7-9). The reference is silent with respect to the categories including one or more of: sponsor name; type of product advertised; relative pricing of product advertised; and location of sponsor. However, it is submitted that it would have been clearly obvious to one of ordinary skill in the art to implement the included code one or more of: sponsor name; type of product advertised; relative pricing of product advertised; and location of sponsor so as to facilitate the determination of whether or not to display the commercial.

4. Claim 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hite in view of Ballard in view of Esch et al. (US Pat No 5,283,639).

In regard to claim 21, the claimed limitation of “a receiver for receiving broadcast media programming into the set top box” is met by the receiver 410 of Figure 5. The claimed limitation of “a commercials database for storing advertisements in the media delivery device” is met by the optional video storage device 456 of Figure 5. The claimed limitation of “a commercials detector [[for detecting audio tones]] in broadcast media programming that indicate authorization for a local television station to insert a locally stored advertisement into the media stream” is met by the commercial processor 438 of Figure 5. “Commercial Processor 438 can cause commercial signals to be stored or played back from the Optional Video Storage Device 456 by signals conveyed by electrical and/or optical connection 462 to the Optional Video Storage Device 456” (Col 14, Lines 28-32). Where the advertisements “are matched to the viewer's interests and needs” (Col 3, Lines 21-22). The claimed limitation of “switching logic to interrupt a television connected to the set top box from the media programming stream and to temporarily decode a television commercial stored in the commercials database when a substitution signal is detected in the commercials detector” is met by the commercial processor 438, the digital demultiplexer 422, and the optional video storage device 456 of Figure 5. “The Commercial Processor 438 can select the data stream processed by the digital portions of the system by the system by signals conveyed by electrical and/or optical connection 440 to the Digital Demultiplexer 422” (Col 14, Lines 17-20). The combined teaching fails to explicitly disclose detecting audio tone. Esch teaches detecting audio tones so as to automatically insert television commercials (See Col 9,

Lines 22-34). Consequently, it would have been obvious to one of ordinary skill in the art to modify the aforementioned combined teaching so as to facilitate the automatic insertion of television commercials.

Conclusion

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to John Manning whose telephone number is 571-272-7352. The examiner can normally be reached on M-F: 9:00 - 5:30.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John W. Miller can be reached on 571-272-7353. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

JM

June 9, 2006



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